
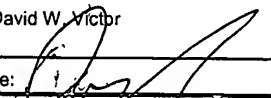


17607 U.S. PTO
121503PTO/SB/05
0037.0065

UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No.:	SJO920030096US1
		Inventor:	Alan L. Stuart and Toby Lyn Marek
		Title:	METHOD, SYSTEM, AND PROGRAM FOR IMPLEMENTING RETENTION POLICIES TO ARCHIVE RECORDS
		Express Mail Label No.:	EV326953360US
APPLICATION ELEMENTS		ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
<p>1. <input type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant Claims Small Entity Status See 37 CFR 1.27.</p> <p>3. <input type="checkbox"/> Specification [Total pages: 32] (Preferred arrangement set forth below) -Descriptive Title of the Invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R&D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure</p> <p>4. <input type="checkbox"/> Drawings(s) (35 U.S.C. 113) [Total sheets: 11]</p> <p>5. <input type="checkbox"/> Oath or Declaration [Total pages: 3] a. <input type="checkbox"/> Newly executed b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (For continuation/divisional with Box 18 completed) i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet (37 CFR 1.76)</p>		<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p>	
ACCOMPANYING APPLICATION PARTS:			
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of Statement (IDS)/PTO-1449 Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input type="checkbox"/> Return Receipt Postcard (MPEP 503)</p> <p>15. <input type="checkbox"/> Certified copy of priority document(s) (If foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(b)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other:</p>			
<p>18. IF A CONTINUING APPLICATION check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application numbers: 10/658,487 and 10/681,558 Examiner: _____ Group/Art Unit: _____ For Continuation or Divisional apps only: the entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>			
19. CORRESPONDENCE ADDRESS			
Name:	David W. Victor		 24033 PATENT TRADEMARK OFFICE
Address:	KONRAD RAYNES & VICTOR, LLP 315 S. Beverly Drive, Suite 210 Beverly Hills, California 90212		
Name: David W. Victor	Registration No. (Attorney/Agent)	Registration No. 39,867	
Signature: 	Date:	December 15, 2003	

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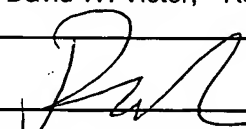
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FEE TRANSMITTAL	Application Number	Not Yet Assigned
f r FY 2002	Filing Date	December 15, 2003
	Inventor	Alan L. Stuart and Toby Lyn Marek
	Group Art Unit	Not Yet Assigned
	Examiner Name	Not Yet Assigned
Total Amount of Payment: \$1406	Attorney Docket Number	SJO920030096US1

<p>METHOD OF PAYMENT (check one)</p> <p>1. The Commissioner is hereby authorized to charge the indicated fees and/or credit any overpayments to Deposit Account Number: 09-0466</p> <p>■ Charge any additional fee required under 37 CFR 1.16 and 1.17</p> <p>■ Charge any deficiency or credit any overpayment</p> <p>2. <input type="checkbox"/> Payment enclosed:</p> <p style="margin-left: 20px;"><input type="checkbox"/> Check; _____ Check No.</p> <p style="margin-left: 20px;"><input type="checkbox"/> Money Order</p> <p style="margin-left: 20px;"><input type="checkbox"/> Credit Card Approval for _____</p> <p>FEE CALCULATION</p> <p>1. BASIC FILING FEE</p> <p>Utility Filing Fee:</p> <p>Large Entity Fee Code 101 \$770.00</p> <p>Fee Submitted: \$ _____</p> <p>2. EXTRA CLAIMS FEES</p> <p>Total Claims <u>41</u> - 20* x \$18= \$ <u>378</u></p> <p>Independent</p> <p>Claims <u>6</u> - 3* x \$86= \$ <u>258</u></p> <p>Multiple Dependent \$290 \$ _____</p> <p>Subtotal \$ <u>1406</u></p> <p>*(or number previously paid for)</p>	<p>FEE CALCULATION (continued)</p> <p>3. ADDITIONAL FEES (large entity)</p> <p><input type="checkbox"/> Surcharge- late filing fee or oath \$130</p> <p><input type="checkbox"/> Surcharge- late provisional filing fee or cover sheet \$50</p> <p><input type="checkbox"/> Non-English specification \$130</p> <p><input type="checkbox"/> International type search report \$40</p> <p><input type="checkbox"/> Requesting publication of SIR prior to action \$920</p> <p><input type="checkbox"/> Requesting publication of SIR after action \$1840</p> <p><input type="checkbox"/> Extension for reply- first month \$110</p> <p><input type="checkbox"/> Extension for reply- second month \$420</p> <p><input type="checkbox"/> Extension for reply- third month \$950</p> <p><input type="checkbox"/> Extension for reply- fourth month \$1480</p> <p><input type="checkbox"/> Extension for reply- fifth month \$2010</p> <p><input type="checkbox"/> Notice of Appeal \$330</p> <p><input type="checkbox"/> Brief in Support of Appeal \$330</p> <p><input type="checkbox"/> Request for Oral Hearing \$290</p> <p><input type="checkbox"/> Utility issue fee \$1330</p> <p><input type="checkbox"/> Petition to revive (unavoidable) \$110</p> <p><input type="checkbox"/> Petition to revive (unintentional) \$1330</p> <p><input type="checkbox"/> Petitions to the Commissioner \$130</p> <p><input type="checkbox"/> Petitions related to provisional applications \$50</p> <p><input type="checkbox"/> Submission of Information Disclosure Statement \$180</p> <p><input type="checkbox"/> Recordation of Assignment \$40</p> <p><input type="checkbox"/> Submission after final (37 CFR 1.129(a)) \$770</p> <p><input type="checkbox"/> Request for Continued Examination (RCE) \$770</p> <p><input type="checkbox"/> Other:</p> <p>SUBTOTAL \$ _____</p>
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Submitted by:

Firm or Individual Name:	David W. Victor; Registration No. 39,867	 24033 PATENT TRADEMARK OFFICE
Signature:		
Date: December 15, 2003	Telephone: (310) 553-7977	

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